



Nassau County

Department of Public Works

B31-15

**Staff Summary**

Subject: Signing of Contract No. S3P311-02G
Department: Public Works
Department Head Name: Shila Shah-Gavvoudias, P.E.
Department Head Signature: 
Project Manager Name: Thomas Immerso

Date: July 13, 2015
Vendor Name: Philip Ross Industries, Inc.
Contract Number: S3P311-02G
Contract Manager Name: Philip Carlucci, President

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assgn Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
7/13/15	Dept. Head	7/16/15	Counsel to C.E.
7/15/15	Budget	7/15/15	County Atty.
7/16/15	Deputy C.E.	7/16/15	County Exec.

**Narrative**

**Purpose:** This contract consists of the repair/replacement of equipment and mitigation of wastewater pump stations (Cedar Dr, Roosevelt Blvd, Whitewood Dr, Biltmore Blvd & Alhambra Rd) damaged by Superstorm Sandy.

**Procurement history, if applicable:** This contract was publicly bid, and it is recommended to award the contract to the lowest responsible bidder, Philip Ross Industries, Inc., who had the successful low bid of \$5,890,000.00.

**General Provisions:** Lump sum construction contract.

**Impact on Funding/Price Analysis:** The bid for this contract of \$5,890,000.00 is below the engineer's estimate and is to come from the approved authorized funds from Project No 3P311. It is expected that the full cost of this contract will be eligible for reimbursement by the Federal Emergency Management Agency (FEMA) under the Robert T. Stafford Disaster Relief and Emergency Assistance Act.

**Recommendation:** Approve as submitted.

SSG:KGA:JLD:rp

2015 JUL 16 4 00:22  
CLERK OF SUPREME COURT  
JULY 16 2015

Capital Project	Project Detail	Fund	Document Number	Current Encumbrance	Paid Amount	Current Encumbrance	Paid Amount	Balance	Retainage	Contract Start Date	Vendor Name
3C067	0	CSW	CHPW14000029	1	4	\$ 8,675,000.00	\$ -	\$ 8,675,000.00	\$ -	20150122	PHILIP ROSS INDUSTRIES INC

RO -2015

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PHILIP ROSS INDUSTRIES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S3P311-02G, PUMP STATION REPAIR & MITIGATION – CEDAR DR, ROOSEVELT BLVD, WHITEWOOD DR, BILTMORE BLVD & ALHAMBRA RD ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PHILIP ROSS INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 5,890,000 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

## REQUEST TO INITIATE

RTI Number 15-0221

## REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: PUMP STATION REPAIR AND MITIGATION (S3P311-02G)  
Cedar Dr, Roosevelt Blvd, Whitewood Dr, Biltmore Blvd & Alhambra Rd

Department: Public Works Project Manager: Thomas A. Immerso Date: July 13, 2015

Service Requested: General Construction Contract

Justification: Necessary for repairs/replacements of equipment at sewage pump stations damaged as a result of Superstorm Sandy as well as flood mitigation measures for the pump stations listed above.

Requested by: Department of Public Works Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$5,890,000.00  
Circle appropriate phaseTotal Project Cost: \$8,500,000 (approx.)  
Includes, design, construction and CMDate Start Work: August 2015  
Phase being requestedDuration: 550 Days  
Phase being requestedCapital Funding Approval: YES ☒ NO ☐ [Signature] [Date]  
SIGNATURE DATEFunding Allocation (Capital Project): 3P311 FM  
See Attached Sheet if multiyear ☐NIFS Entered: [Signature] [Date]  
SIGNATURE DATEAIM Entered: NA [Date]  
SIGNATURE DATEFunding Code: 002  
Use this on all encumbrancesTimesheet Code: \_\_\_\_\_  
Use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or, Environmental Assessment Form Required ☐  
[Signature] Supplemental Environmental Documentation \_\_\_\_\_Department Head Approval: YES ☒ NO ☐ [Signature]  
SIGNATUREDCE/Ops Approval: YES ☐ NO ☐ [Signature]  
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.

<u>Vendor</u>	<u>Quote</u>	<u>Comment</u>	See Attached Sheet (bid results) <input type="checkbox"/>
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DCE/Ops Approval: YES NO Signature \_\_\_\_\_

Nassau DPW B.I.D.S. - Summary of Bid Opening

S3P311-02G - General Contracting

5/12/2015

Pump Station Repair & Mitigation-Cedar Dr., Roosevelt Blvd Whitewood Dr., Biltmore Blvd & Alhambra R

Bid Opening: 5/12/2015

Engineer: Tom Immesco Phone: (516) 571-7508

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Philip Ross Industries, Inc.	200 Long Island Avenue Wyandanch, NY 11798	Liberty Mutual Insurance Comp	10% Amt Bid	\$5,890,000.00	\$0.00
RJ Industries	75 East Bedpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% Amt Bid	\$7,747,700.00	\$0.00
W.H.M. Plumbing	6H Enterprise Drive East Setauket, NY 11733	Berkley Insurance Company	10% Amt Bid	\$8,495,000.00	\$0.00

The preceding is a list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** July 17, 2015

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
Pump Station Mitigation – General Construction  
Proposed Contract No. S3P311-02G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services:

General construction for repairs/replacements resulting from Hurricane Sandy, as well as requirements for Code/OSHA compliance items and flood mitigation measures for wastewater pump stations.

2. The work involves the following:

Scope of Work: General construction contract for the replacement of flood damaged process, mechanical and electrical equipment; elevating critical equipment above the 500 year base flood elevation (BFE); flood proofing structures to prevent damage from potential future storm events.

3. An estimate of the cost is: \$5,890,000
4. An estimate of the duration is: Eighteen (18) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold  
Assistant to Commissioner

KGA:JLD:rp

- c: Keith Cromwell, Office of Labor Relations  
William S. Nimmo, Deputy Commissioner  
Patricia Kivo, Unit Head, Human Resources Unit  
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Thomas A. Immerso, Sanitary Engineer II  
Loretta V. Dionisio, Hydrogeologist II



COUNTY OF NASSAU  
Inter-Departmental Memo

**TO:** Office of the County Executive  
Att: Richard R. Walker, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** July 14, 2015

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract No: S3P311-02G**

**Title: CONTRACT S3P311-02G – PUMP STATION REPAIR & MITIGATION –  
CEDAR DR, ROOSEVELT BLVD, WHITEWOOD DR, BILTMORE BLVD &  
ALHAMBRA RD**

**Bids received on: May 12, 2015**

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **Philip Ross Industries, Inc.**, as the lowest responsible bidder with a total bid amount of **\$5,890,000.00** In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.

  
Shila Shak-Gavroudias  
Commissioner

SSG:KGA:jh



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Division of Administration  
Att: Loretta Dionisio, Hydrogeologist II

**FROM:** Division of Engineering  
Water/Wastewater Engineering Unit

**DATE:** July 17, 2015

**SUBJECT:** RECOMMENDATION OF AWARD

Contract No: S3P311-02G

Title: Pump Station Repair & Mitigation  
Cedar Dr, Roosevelt Blvd, Whitewood Dr, Biltmore  
Blvd & Alhambra Rd

Engineer's Estimate: \$7,322,718.00

Bids Received On: May 12, 2015

The bids received for the above-referenced contract have been examined, and the bid submitted by Philip Ross Industries, Inc., in the amount of \$5,890,000.00 is acceptable as the lowest responsible bidder.

Since the low bid is less than the engineer's estimate and adequate funds are available (Capital Project No. CSW 3P311), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached, herewith, please find a completed Staff Summary, NIFA, CSEA, Engineer's Bid Analysis and Award Recommendation, and Request to Initiate forms for your information and use.



Kenneth G. Arnold  
Assistant to Commissioner

KGA:JLD:rp  
Attachments

c: Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Thomas A. Immerso, Sanitary Engineer II





July 13, 2015  
LKB # 4435

Joseph L. Davenport, P.E.  
Chief Sanitary Engineer  
Unit Head, Water/Wastewater Engineering Unit  
County Assigned System Employee, United Water LI  
Nassau County Department of Public Works  
3340 Merrick Road, Building R, 3rd Floor  
Wantagh, New York 11793

RE: Nassau County Department of Public Works  
CONTRACT NO. S3P311-02GPump Station Repair and Mitigation, Cedar Drive,  
Roosevelt Boulevard, Whitewood Drive, Biltmore Boulevard and Alhambra Road  
Philip Ross Industries, Inc. Bid Proposal Evaluation

Bids were received and opened on May 12, 2015 for the abovementioned Nassau County contract. The bid tabulation is shown in Table 1 attached, and Philip Ross Industries, Inc. (PRI) was identified as the apparent low bidder.

At the request of the Nassau County Department of Public Works (the County), LKB examined the Bid Proposal submitted by PRI for completeness and accuracy. In addition, LKB evaluated the bid bond, proposal, qualification statement and project references submitted by PRI as part of their proposal package. LKB also chaired a pre-award meeting, on June 24, 2015 (meeting minutes attached as Exhibit A) and additional information was requested of PRI at that meeting, which was provided.

#### FORM OF BID BOND Examination and Discussion

The bid bond was checked for completeness and accuracy. The following was noted.

Applicable lines on the FORM OF BID BOND have entries in the proper amounts and locations, and was executed by authorized representatives of the bidder and bonding company and notarized where required.

A financial statement of the bonding company through December 31, 2014 was provided.

A CERTIFICATE OF SOLVENCY for the bonding company through December 31, 2014 was prepared by the New York State Department of Financial Services and provided.

The bid bond submitted by PRI was evaluated by contacting Ms. Susan Lupski at Alliant Insurance Services, Inc., the local representative of the underwriter, Liberty Mutual Group, Inc. d/b/a Liberty Mutual Insurance Company (LMIC). Ms. Lupski completed and returned LKB'S "BID EVALUATION FORM - BOND COMPANY" which is attached as Exhibit B.

Her evaluation suggests that LMIC has provided bonds for PRI for twenty five years with no defaults. In addition, LMIC has sufficient resources to bond this project.

#### PROPOSAL Examination

The proposal was checked for completeness and accuracy. The following was noted.

Applicable lines on the various proposal sheets have entries, signatures and notary seals where required.

One name misspelling was noted on page P-3.

A letter indicating that PRI currently participates in the International Union of Operating Engineers Local 138 Apprenticeship Training Program is included after page P-8.

No mathematical errors in the bid tabulation were found on pages P-9 through P-11.

PRI provided tabulation by Section of the major equipment items to be supplied on page P-15.

PRI provided a SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE on page P-17.

PRI provided the IRAN DIVESTMENT ACT – CERTIFICATION complete and executed on page P-19.

PRI provided the MacBride Fair Employment Principles certification complete and executed on page P-21.

As required, the CONSULTANTS, CONTRACTORS AND VENDORS DISCLOSURE STATEMENT was completed and executed on page P-21.

#### QUALIFICATION STATEMENT Examination and Discussion

PRI provided written answers to each question. The company has been in business under the Philip Ross name for thirty one years.

PRI submitted a total twenty three project references with their bid proposal for this project. The references span the period from June 2004 through January 2014 and have a total project value of \$47,493,264. Individual project values ranged from \$440,000 to \$6,747,000, averaging \$2,064,925. The median project value is \$1,550,000. Both the mean and median project value is less than the bid amount of \$5,890,000. Only two of the twenty three project references submitted exceeded the bid amount for this project, but were completed by PRI within the last four years. Of the twenty three project references submitted by PRI, the breakdown is as follows:

Nine references provided Mr. Rich Humann (H2M) as the project contact.

Eight references provided Mr. Bill Merklin (D&B) as the project contact.

One reference provided Mr. Rich Hults (D&B) as the project contact.

One reference provided Mr. Philip Campisi (DeBruin) as the project contact.

One reference provided Mr. Bob Peterson as the project contact. The contact phone number provided connects to the D&B field trailer at the Cedar Creek WWTP. Mr. Bob Cavaliero answered that telephone number and does not know Bob Peterson. However, he does state that PRI has just started work at the treatment plant for the County under another contract and he is pleased with their performance so far.

Two references provided Mr. Tony Trombino (H2M) as the project contact.

One reference provided Mr. Thomas Carrahill (H2M) as the project contact.

Of the twenty three project references provided by PRI, twelve cite a H2M employee as the contact, ten cite a D&B employee and one cites a DeBruin employee.

With respect to the H2M verified contacts, those projects were all water district jobs in Nassau and Suffolk Counties. Both Mr. Humann and Mr. Trombino were generally pleased with the work of PRI. Mr. Carrahill did not return repeated phone calls left at H2M's office on Thursday 6/11 and Monday 6/15. Mr. Humann spoke to the water district project on Mr. Carrahill's behalf and he reiterated that he is pleased overall with PRI's work.

With respect to the D&B verified contacts, those projects are a mixture of wastewater, water district and swimming pool rehabilitation jobs in Nassau County. Mr. Hults was generally pleased with the work of PRI. Mr. Merklin was reached on Wednesday 6/24 and his recollection of working with PRI was also positive. Mr. Merklin did state that he was happy when PRI wins a bid and they will work to resolve problems.

As previously indicated, Mr. Bob Peterson of D&B was not located. The project he worked on related to replacing gas valves on digester covers at the Cedar Creek WWTP in June 2011.

With respect to the single DeBruin contact, the phone number provided for Mr. Campisi (later found to be in error) was disconnected. He did not return repeated phone calls left at DeBruin's office on Thursday 6/11 and Monday 6/15. Mr. Campisi did reach out to LKB on 7/8/15.

In a discussion on 7/9/15, Mr. Campisi was very forthcoming about his work with PRI. He has known Mr. Ross for twenty-five years and he knows his "stuff", but he is stretched thin over many jobs and is hard to get in touch with. Mr. Ross has good subcontractors, plumbers and mechanical people, but no superintendent on his jobs; so if a problem develops his people need to talk to him directly slowing the progress of the work. Mr. Ross is good with his submittals and will give you what was specified. Mr. Ross does not provide adequate equipment startup procedures. Although his work is good, "...you have to stay on top of him".

According to Mr. Campisi, PRI is very familiar with Nassau County procedures, "...knows the drill..." has done work at Bay Park STP and is currently performing work at Cedar Creek STP.

In summary through July 9, 2015, twenty-two project references (Humann, Hults, Merklin, Trombino and Campisi) of the twenty-three provided by PRI have been checked. Everyone who responded was generally positive in their remarks about PRI.

PRI indicated no business difficulties, contract defaults, bidding irregularities, wage violations, tax delinquencies or state disbarments in their bid.

A list of the bidder's equipment was not included, and was subsequently provided to LKB. The list is included as Exhibit C.

LKB also obtained a Dun and Bradstreet (DB) report on PRI and the report is attached as Exhibit D. The DB report was nominal and showed no major irregularities. There is some evidence of past slow payments to vendors. However, no collection action or judgments were noted.

PRI claims to carry current insurances coverages up to \$13,000,000, which includes the umbrella coverage cited.

A resume for Mr. Philip Carlucci, the sole owner of PRI, was included with the bid. No other resumes were provided.

#### Other Sources Consulted

A Google search of Philip Ross Industries, Inc. was conducted. An entry was found regarding an appeal by Robert McCutchan of a civil judgment brought regarding an unknown matter that was withdrawn. No other negative information was found.

No negative information regarding PRI was found during a search of the New York State Department of Labor website. There is no indication that PRI is on the Department of Labor or Workers Compensation Board disbarment list or has been barred from contracting State or municipal work.

#### Pre-award meeting

At the pre award meeting, PRI stated they were comfortable with their bid price, which was significantly lower than the engineers estimate and the nearest low bidder.

In addition, they stated they could manage this project, and two other NCDPW pump station projects (03G and 05G) which will proceed concurrently with this project. However to provide some additional assurance in this regard, PRI was requested to provide the resumes of additional managerial personnel, as well as a list of their employees. This additional information was provided and is included as Exhibits E and F.

A question arose as to the status of the apprenticeship program for PRI's masonry subcontractor, Silnap. To address this issue, PRI proved a letter from the bricklayers and Allied Craft Works Local Union No 1 addressed to Silnap attesting to Silnap's affiliation with the NYSDOL approved apprenticeship program. A copy of that letter is included as Exhibit G

### Conclusions

The Bid Proposal package as reviewed by LKB appears complete and accurate.

LMIC has provided bonding services to PRI in the past and is comfortable providing bonding services for this project.

Aside from one project contact (Mr. Peterson), verification of the project references submitted by PRI is complete. No information was received, which in our view, would disqualify PRI.

PRI is familiar with the project requirements and has successfully performed work for the NCDPW.

In light of the above, LKB has no objection to awarding of Contract S3P311-02G to PRI.

Sincerely,  
Lockwood, Kessler and Bartlett Inc.



Paul Lappano PE, BCEE, LEED AP  
Vice President of Environmental Services

CC T. Immerso NCDPW  
H. Remo Arcadis/ Hazen JV  
C. Leal Arcadis/ Hazen JV

<b>Table 1</b>	
<b>Summary of Submitted Bids for Contract S3P311-02G</b>	
<b>Contractor</b>	<b>Submitted Bid Total</b>
Engineers Estimate	\$ 7,322,718.00
Phillip Ross Industries, Inc.	\$ 5,890,000.00
RJ Industries	\$ 7,747,700.00
W.H.M. Plumbing	\$ 8,495,000.00



**Exhibit A**  
**S3P311-02C - Pump Station Mitigation**  
**Pre-Award Meeting Minutes**

**Time:** 10:00 – 11:00 am

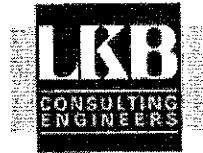
**Date:** Wednesday June 24, 2015

**Location:** Cedar Creek 'R' Bldg., 3<sup>rd</sup> Floor Conference Room

**Participants:** DPW: Tom Immerso  
PM-JV: Chris Leal  
LKB: Paul Lappano  
Philip Ross Industries (PRI): John Feldman, Philip Carlucci

1. The pre-award meeting was held as required by Section XXIII of the instruction to bidders. The purpose of the meeting was to review the bidders' ability to fulfill the obligations of the contract.
2. P. Carlucci of PRI was asked that since PRI was approximately \$1.5M lower than the next lowest bidder, and \$1.4M lower than the engineers estimate, would they still want to proceed with this contract at their bid price. Mr Carlucci said yes they would and that they are using the equipment specified with no major substitutions, and are comfortable with their bid, with no exceptions.
3. P. Carlucci of PRI was asked that since they may be awarded the 02G contract and have been awarded the 03G and possibly the 05G contract, and these contracts will proceed concurrently, do they have additional qualified personnel to manage the project and who would they be? P Carlucci indicated they have sufficient personnel, and will provide resumes of the key managers and list of other employees who will be engaged in the project.
4. Hinck was listed as the electrical sub-contractor yet our review indicates that other sub-contractors would be needed. P. Carlucci stated that York will be performing the concrete work and Silnak will be performing the Masonry work. PRI to provide the certification for the apprenticeship training program for Silnak.
5. The following work items that PRI would be responsible for, that were not clearly noted in the work summary as detailed in the PRI letter to Joseph Davenport dated 6/16/15, were identified and include:

5.1 Odor control system for Cedar, Whitewood, Roosevelt, Biltmore



125 Years of  
Engineering Excellence

5.2 Raising equipment MCC, or buckets, while maintaining pump station operations

5.3 Bypass pumping to include Automatic Controls

5.4 Electrical heaters

5.5 Concrete wall / masonry for all stations except Biltmore

5.6 No emergency generator for Biltmore

5.7 Provide emergency generator during installation of new generator

P. Carlucci stated they are aware of this work and are comfortable in performing same.

PRI was also reminded that the bypass valves have probably not been exercised in some time and may not be operable. P Carlucci indicated they would be capable to address this problem if it arose. Additionally, PRI was notified that one of the references in their proposal, Mr. William Merklin of D & B, has been difficult to contact. Mr Carlucci will contact Mr Merklin to call Mr Raymond Wegener of LKB.

In summary, the action items for PRI include:

- 1) Provide the certificate for the apprentice program for Silnak
- 2) Provide resumes of key managerial personnel
- 3) Provide list of names for other employees
- 4) Contact Mr. Merklin to call Mr. Raymond Wegener of LKB



**Exhibit B**

**BID EVALUATION FORM**  
**BOND COMPANY**

**Contract Name and Number:**

**Pump Station Repair and Mitigation, Cedar Drive, Roosevelt Boulevard, Whitewood  
Drive, Biltmore Boulevard and Alhambra Road  
CONTRACT NO. S3P311-02G**

**Contractor's Name, Address and Telephone No.**

**Date: 6/10/15**

Philip Ross Industries, Inc.  
200 Long Island Avenue  
Wyandanch, NY 11798  
1-631-253-3077

Bond Company Name: Liberty Mutual Group, Inc. d/b/a Liberty Mutual Insurance Company  
Contact Person and Telephone No.: Alliant, Ms. Susan Lupski, 1-516-414-8911

1. Does the Contractor have the bonding capacity for the job?  
Yes. – Philip Ross Industries, Inc. has the bonding capacity for this job.
2. How long have you been providing bonds for the Contractor?  
Liberty Mutual Insurance Company has been providing bonds on behalf of Philip Ross Industries, Inc. for over twenty five (25) years.
3. Will the Contractor have any problem securing a performance bond from you (The Bonding Company)?  
No. Liberty Mutual Insurance Company is prepared to issue the required Performance and Payment bonds for the captioned project.
4. Has the Contractor ever defaulted on a contract?  
No. Philip Ross Industries, Inc. has never defaulted on a contract.

5. Have you ever been called in on a contract to work with the Contractor to avoid default?  
No. Liberty Mutual Insurance Company has not been called in on a contract to work with Philip Ross Industries, Inc. to avoid default.
6. What is the current bonding capability with regards to the current total worth of work in progress and under contract?  
Current Available Capability: \$50 Million  
Current Bonded Projects: \$20 Million

Exhibit C

PHILIP ROSS INDUSTRIES, INC.  
200 LONG ISLAND AVE  
WYANDANCH, NY 11798

Quantity	Make	Model	Equipment	Serial #
1	Hitachi	EX200LC	Hydraulic Excavator	14767886
1	Hitachi	EX50	Hydraulic Excavator	1BUP240227
1	Ideco	MES2500	Hydraulic Breaker	1157
1	JCB	1700B	Backhoe	333427
1	'87 Ford	555B	Backhoe	C773880
1	Ditch Witch	6510	Trencher	430807
1	Godwin	WP200	8" Well Point Pump	91488870
1	Godwin	WP200	8" Well Point Pump	90572275
1	Vermeer	88	Trencher	
1			Forklift	
1	Skidsteer	960	Loader	563128N
5			Office Trailers	
1	Grove		18 Ton Iron Crane	69708RT418
1	Cat	DC3XL	Dozer Crawler	4TS1013
1	Volvo	L120C	Loader	10924

6/15/2015

## Exhibit D

D&amp;B Comprehensive Insight Plus Report: PHILIP ROSS INDUSTRIES INC.



Comprehensive Insight Plus Report for  
**PHILIP ROSS INDUSTRIES INC.**  
 Report Printed: June 15, 2015

D-U-N-S #: 15-401-7461

- Printer-friendly version  
 E-mail report  
 Save HTML report

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Company Snapshot

Creditworthiness

Payment History &amp; Trends

Public Filings

History &amp; Operations

Banking &amp; Finance

## At-A-Glance Summary

Instruction Guide ?

## Fast Facts

## Creditworthiness ?

Likelihood this company will  
 experience financial stress in  
 the next 12 months

SIGNIFICANT



Likelihood this company will  
 not pay on time over the  
 next 12 months

HIGH



Financial Stress Class: 4

[Get details](#)

Credit Score Class: 5

[Get details](#)

D&B offers guidance on credit limits for this company based on its profile  
 as well as profiles of other companies similar in size, industry, and  
 credit usage

[Get details](#)

## Profile

PHILIP ROSS INDUSTRIES INC.  
 200 Long Island Ave  
 Wyandanch, NY 11798  
 Tel: 631 253-3077  
 Fax: 631 253-0180  
 D-U-N-S #: 15-401-7461  
 D&B Rating: 1R3

## Company Stats

Year Incorporated 1984  
 Year started 1984  
 Employees 15  
 Financing SECURED  
 Chief Executive Philip Carlucci, Pres  
 S.I.C. 1711  
 Industry Plumbing/heating/air cond contractor  
 This is a single location.

## Payment History &amp; Trends ?

Timeliness of historical payments for  
 this company\*\*

SLOW



Payment performance trend over the past  
 90 days

D&amp;B PAYDEX® : 51



Industry benchmark: Slow

\*\*Based on 24 trade experiences on file with D&amp;B

[Get details](#)

UNCHANGED

[Get details](#)

Included with this Comprehensive  
 Insight Plus Report are continuous  
 tracking of key business changes  
 and free Alert messages in the View  
 My Reports/Alerts page. You can  
 also choose to receive e-mail  
 notifications of the important  
 changes.

IMPORTANT NOTE: You will not  
 receive e-mail alerts if you have  
 opted out of receiving  
 communications from D&B.

## Public Filings ?

Evidence of bankruptcy, fraud, or criminal proceedings in the history  
 of this business or its management

NO ☒

Noteworthy special events in this company's file

NO ☒

Total number of suits, liens and judgments in this company's file

0 ☒ [Get details](#)

Value of open suits, liens and judgments for this company

\$0 ☒ [Get details](#)

Value of open records refers only to 10 most recent filings for each record type.

The Net worth amount in this section  
 may have been adjusted by D&B to  
 reflect typical deductions, such as  
 certain intangible assets.

## Customer Service

Need help? Call Customer Service at (800) 932-0025, Monday through Friday, 8:00 AM to  
 6:00 PM Local Time.

[Company Snapshot](#)[Creditworthiness](#)[Payment History & Trends](#)[Public Filings](#)[History & Operations](#)[Banking & Finance](#)

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Comprehensive Insight Plus Report for  
**PHILIP ROSS INDUSTRIES INC.**  
 Report Printed: June 15, 2015

D-U-N-S #: 15-401-7461

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## Company Snapshot

### Business Summary

#### Profile

**PHILIP ROSS INDUSTRIES INC.**  
 200 Long Island Ave  
 Wyandanch, NY 11798

Tel: 631 253-3077  
 Fax: 631 253-0180

D-U-N-S #: 15-401-7461

D&amp;B Rating: 1R3

#### Company Stats

Year incorporated	1984
Year started	1984
Employees	15
Financing	SECURED
Chief Executive	Philip Carlucci, Pres
S.I.C.	1711
Industry	
Plumbing/heating/air cond contractor	

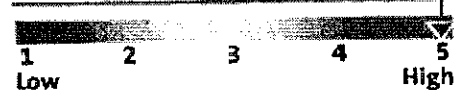
This is a **single** location.

The Net worth amount in this section may have been adjusted by D&B to reflect typical deductions, such as certain intangible assets.

Likelihood this company will not pay on time over the next 12 months

HIGH ▲

Credit Score Class: 5



Likelihood this company will experience financial stress in the next 12 months

SIGNIFICANT ▲

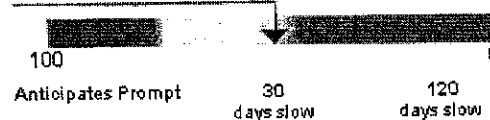
Financial Stress Class: 4



Timeliness of historical payments for this company\*\*

SLOW ⚠

D&amp;B PAYDEX®: 51



Industry benchmark: Slow

\*\*Based on 24 trade experiences on file with D&amp;B

Payment performance trend over the past 90 days

↔ **UNCHANGED**

D&B offers guidance on credit limits for this company based on its profile as well as profiles of other companies similar in size, industry, and credit usage

[Get details](#)

Evidence of bankruptcy, fraud, or criminal proceedings in the history of this business or its management

NO ☒

Noteworthy special events in this company's file

NO ☒

Total number of suits, liens and judgments in this company's file

0 ☒

Value of open suits, liens and judgments for this company

\$0 ☒

Value of open records refers only to 10 most recent filings for each record type.

[Company Snapshot](#) [Creditworthiness](#) [Payment History & Trends](#) [Public Filings](#) [History & Operations](#) [Banking & Finance](#)

## Creditworthiness

### Summary

Likelihood this company will experience financial stress in the next 12 months

SIGNIFICANT ▲

#### D&B Rating: 1R3

Number of employees: 1R is 10 or more employees.

Composite credit appraisal: 3 is fair.

The 1R and 2R ratings categories reflect company size based on the total number of employees for the business. They are assigned to business files that do not contain a current financial statement. In 1R and 2R Ratings, the 2, 3, or 4 creditworthiness indicator is based on analysis by D&B of public filings, trade payments, business age and other important factors. 2 is the highest Composite Credit Appraisal a company not supplying D&B with current financial information can receive. For more information, see the D&B Rating Key.

Likelihood this company will not pay on time over the next 12 months

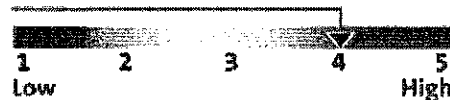
HIGH ▲

### Default on Payment: Financial Stress Summary

Likelihood this company will experience financial stress in the next 12 months

SIGNIFICANT ▲

Financial Stress Class: 4



#### Key Factors

- 24 trade experiences exist for this company.
- Financial Stress Score: 1346 (high risk: 1,001; low risk: 1,875)
- Low proportion of satisfactory payment experiences to total payment experiences.
- High number of inquiries to D&B over last 12 months.
- Low Paydex Score.
- High proportion of past due balances to total amount owing.
- Unstable Paydex over last 12 months.

Financial stress national percentile: 2 (highest risk: 1%; lowest risk: 100%)

National percentile industry norm: 49 (highest risk: 1%; lowest risk: 100%)

During the prior year, firms in this Financial Stress Class had a failure rate of 0.84%, which is 1.75 times higher than the national average.

### Payment within Terms: Credit Score Summary

Likelihood this company will not pay on time over the next 12 months

HIGH ▲

Credit Score Class: 5



#### Key Factors

- 24 trade experiences exist for this company.
- Evidence of negative trade
- Recent amount past due
- Increase in proportion of delinquent payments in recent payment experiences
- Proportion of slow payment experiences to total number of payment experiences reported
- Proportion of satisfactory balances to total payment balances reported

The Credit Score class of 5 for this company shows that during the previous year, 53.1% of the firms with this classification paid one or more bills severely delinquent, which is 5.21 times higher than the national average.

Credit score percentile: 6 (high risk: 1%; low risk: 100%)

Industry norm percentile: 39 (high risk: 1%; low risk: 100%)

### Additional Information

#### Financial Stress Summary

- The Financial Stress Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The probability of failure shows percentage of firms in a given

#### Credit Score Summary

- The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 90 days past due or more by creditors. The calculation of this value is based on an inquiry weighted sample.
- The Percentile ranks this firm relative to other businesses.

percentile that discontinue operations with loss to creditors. The average probability of failure is based on businesses in D&B's database and is provided for comparative purposes.

- The Financial Stress Score Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

For example, a firm in the 80th percentile has a lower risk of paying in a severely delinquent manner than 79% of all scorable companies in D&B's files.

[Company Snapshot](#) [Creditworthiness](#) [Payment History & Trends](#) [Public Filings](#) [History & Operations](#) [Banking & Finance](#)

## Payment History

### Summary

Average payment performance trend when weighted by dollar amount

UNCHANGED 

Company's payment performance over the past 12 months compared with its peers

SLOW 

### Payment History Overview

Payment experiences on file with D&B:	24	Average highest credit:	\$22,184
Payments made within terms:	15 (63%)	Largest high credit:	\$300,000
Amount placed for collections:	0 (0%)	Highest now owing:	\$75,000
		Highest past due:	\$40,000

### Historical Payment Trends: PAYDEX®

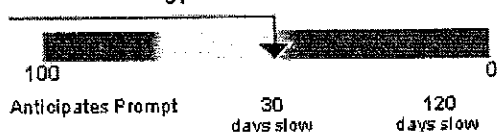
Average payment performance trend when weighted by dollar amount

Last 3 months: Trend is unchanged

 UNCHANGED

Last 12 months: 29 days beyond terms  
Industry benchmark: Slow

D&B PAYDEX® : 51



Based on payments collected over last 12 months.

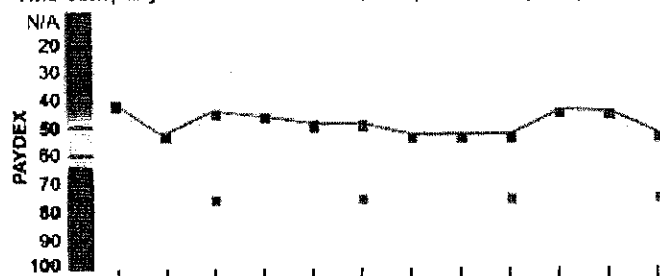
Indications of slowness can be the result of dispute over merchandise, skipped invoices, etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

### Historical Payment Trends: PAYDEX® Comparison to Industry

Company's payment performance over the past 12 months compared with its peers

SLOW 

This company's 12-month high: 51, or equal to 29 days beyond terms  
This company's 12-month low: 38, or equal to 66 days beyond terms



07/14 08/14 09/14 10/14 11/14 12/14 01/15 02/15 03/15 04/15 05/15 06/15

■ This Company ■ Industry Benchmark

Shows PAYDEX scores of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Plumbing/heating/air cond contractor, based on SIC code 1711.

### Payment History Details

Date Reported	Paying Record	High Credit (\$)	Now Owes (\$)	Past Due (\$)	Selling Terms	Last Sale Within (months)
05/15	Prompt	7,500	7,500	0		1
05/15	Prompt	5,000	5,000	1,000	Net30	1
05/15	Prompt	500	100	0		1
05/15	Prompt	250	0	0		6-12
05/15	Prompt-Slow 15	1,000	500	0		1
05/15	Prompt-Slow 15	1,000	0	0		1
05/15	Prompt-Slow 30	5,000	2,500	0		1
05/15	Prompt-Slow 90	300,000	75,000	40,000		1
05/15	Slow 30-60	20,000	2,500	2,500		1
05/15	Slow 60-90+	100,000	10,000	10,000		2-3
04/15	Prompt	2,500	2,500	0		1
04/15	Prompt	500	0	0		1
04/15	Prompt-Slow 30	10,000	2,500	0		1
04/15	Prompt-Slow 30	2,500	1,000	250		1
04/15	Prompt-Slow 60	1,000	0	0		2-3
03/15	Prompt	50	0	0		1
10/14	Prompt	2,500	0	0		6-12
09/14	Prompt	250	0	0	Net30	6-12
08/14	(019)	250			Cash account	1
06/14	Prompt	7,500				1
12/13	Slow 60-90	5,000	5,000	5,000	Net30	
09/13	Slow 30	1,000	500	100		1
07/13	Slow 90+	15,000	0	0		6-12
07/13	(024)	100			Cash account	1

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of dispute over merchandise, skipped invoices, etc.  
Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

### Payment Analysis By Industry

Company's dollar-weighted payments listed by the primary industries of its suppliers

Industry	Total Received (#)	Total Dollar Amount (\$)	Largest High Credit (\$)	Within Terms	Slow 1-30 60	Slow 31-60	Slow 61-90	Slow 91+
					(% of dollar amount)			
Whol plumb/hydronics	2	301,000	300,000	50	0	0	50	0
Misc business service	2	5,500	5,000	55	45	0	0	0
Trucking non-local	2	750	500	100	0	0	0	0
Mfg pumping equipment	1	100,000	100,000	0	0	50	0	50
Mfg paint/allied prod	1	20,000	20,000	0	50	50	0	0
Whol industrial equip	1	15,000	15,000	0	0	0	0	100
Misc equipment rental	1	10,000	10,000	50	50	0	0	0
Public finance	1	7,500	7,500	100	0	0	0	0
Short-trm busn credit	1	7,500	7,500	100	0	0	0	0

6/15/2015

## D&amp;B Comprehensive Insight Plus Report: PHILIP ROSS INDUSTRIES INC.

Testing laboratory	1	5,000	5,000	0	0	50	50	0
Whol electrical equip	1	5,000	5,000	100	0	0	0	0
Electric services	1	2,500	2,500	100	0	0	0	0
Data processing svcs	1	2,500	2,500	50	50	0	0	0
Whol misc profsn eqpt	1	2,500	2,500	100	0	0	0	0
Coating/engrave svcs	1	1,000	1,000	50	0	50	0	0
Radiotelephone commun	1	1,000	1,000	50	50	0	0	0
Whol service paper	1	1,000	1,000	50	50	0	0	0
Whol furniture	1	250	250	100	0	0	0	0
Air courier service	1	50	50	100	0	0	0	0

**Other payment categories**

Cash experiences	2	350	250					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					

**Placed for collection**

With D&B	0	0	0					
Other	0	NA	0					
<b>Total in D&amp;B's file</b>	<b>24</b>	<b>488,400</b>	<b>300,000</b>					

There are 24 payment experiences in D&B's file for the most recent 12 months, with 16 experiences reported during the last three month period.

[Company Snapshot](#)   [Creditworthiness](#)   [Payment History & Trends](#)   [Public Filings](#)   [History & Operations](#)   [Banking & Finance](#)

**Public Filings****Summary of Court Actions**

The following data includes both open and closed filings found in D&B's database on the subject company.

Record Type	Open Records	Open Value	Total Records	Most Recent Filing Date
<b>Suits</b>	0	0	0	-
<b>Liens</b>	0	0	0	-
<b>Judgments</b>	0	0	0	-
<b><u>UCC Filings</u></b>	3	NA	3	02/25/2015
<b>Bankruptcy Proceedings</b>	0	NA	0	-

Public filing data is for informational purposes only and is not the official record. Certified copies can only be obtained from the official source.

Number and value of open records refers only to 10 most recent filings for each record type.

**UCC Filings**

Collateral	Type	Sec. Party	Debtor	Date Filed	Additional Details
Negotiable instruments including proceeds and products - Inventory including proceeds and products - Account(s) including proceeds and products - Timber including proceeds and products - and OTHERS	Original	ASTORIA FEDERAL SAVINGS AND LOAN ASSOCIATION, JERICHO, NY	PHILIP ROSS CONSTRUCTION INC.	10/07/2013	Filing number: 1310076063468 Filed with: SECRETARY OF STATE/UCC DIVISION, ALBANY, NY Latest info Received: 10/18/2013
Negotiable instruments including proceeds and products - Inventory including proceeds and products - Account(s) including proceeds and products - Timber including	Original	ASTORIA FEDERAL SAVINGS AND LOAN ASSOCIATION, JERICHO, NY	PHILIP ROSS INDUSTRIES INC.	10/07/2013	Filing number: 1310076063204 Filed with: SECRETARY OF STATE/UCC DIVISION, ALBANY, NY Latest info Received: 10/18/2013

6/15/2015

## D&amp;B Comprehensive Insight Plus Report: PHILIP ROSS INDUSTRIES INC.

proceeds and products -  
and OTHERS

Equipment and proceeds

Original

CATERPILLAR  
FINANCIAL SERVICES  
CORPORATION,  
NASHVILLE, TNPHILIP ROSS  
INDUSTRIES INC.

02/25/2015 Filing number: 1502255189901

Filed with: SECRETARY OF  
STATE/UCC DIVISION, ALBANY,  
NY

Latest info Received: 03/05/2015

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed. Any public filings displayed in red are open.

## Government Activity

### Activity Summary

Borrower (Dir/Guar)

No

Administrative Debt

No

Contractor

No

Grantee

No

Party Excluded from Federal Program(s)

No

### Possible Candidate for Socio-Economic Program Consideration

Labor Surplus Area

NA

Small Business

Yes (2015)

8(A) Firm

NA

The details provided in the Government Activity section are as reported to D&B by the federal government and other sources.

[Company Snapshot](#)[Creditworthiness](#)[Payment History & Trends](#)[Public Filings](#)[History & Operations](#)[Banking & Finance](#)

## History & Operations

### Topic

### Description

#### History

Detailed information on the history of a company, including background information on the management team and key principals, and information on related companies.

#### Registration & Incorporation

Detailed registration and incorporation information, including the date and state of incorporation and the type of corporation formed.

#### Company Operations

Detailed information on a company's operations, including the identity of the parent company, the geographic scope of the business, and the key holdings.

#### Industry Classification

Details on the specific industry within which a company is classified.

## History

### Officer(s):

### Director(s):

PHILIP CARLUCCI, PRES THE OFFICER(S)

The New York Secretary of State's business registrations file showed that Philip Ross Industries Inc. was registered as a Corporation on June 8, 1984.

Business started 1984 by Philip Carlucci, 100% of capital stock is owned by Philip Carlucci.

PHILIP CARLUCCI born 1961. 1984-present active here. 1981-1984 owner of PC Waterproofing, Melville, NY.

## Registration & Incorporation

Registered Name: Philip ross industries inc.

Business Type: Corporation

Corporation Type: Profit

Date incorporated: June 08, 1984

State of incorporation: New york

Duration: Perpetual

Status: Active

Filing Date: June 08, 1984

Registration ID: 922278

Where filed: SECRETARY OF STATE/CORPORATION  
DIVISION, ALBANY, NYPrincipals: PHILIP CARLUCCI, CHAIRMAN OF THE BOARD,  
6 NORTHCOTE DRIVE, MELVILLE, NY,  
117470000

Corporate and business registrations provided by management or other source.

## Company Operations

**Description:** Contractor of plumbing, heating and air conditioning, specializing in plumbing systems (100%).

ADDITIONAL TELEPHONE NUMBER(S): Facsimile (Fax) 631 253-0180.

Terms are contractual basis and Net 30 days. Sells to commercial concerns. Territory : Local.

Nonseasonal.

**Employees:** 15 which includes officer(s).

**Facilities:** Owns 4,000 sq. ft. in a two story brick building.

**Location:** Suburban business section on well traveled street.

## Industry Classification

**SIC** **NAICS**  
 17110200 Plumbing contractors 238220 Plumbing, Heating, and Air-Conditioning Contractors

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

[Company Snapshot](#) [Creditworthiness](#) [Payment History & Trends](#) [Public Filings](#) [History & Operations](#) [Banking & Finance](#)

## Banking & Finance

### Key Business Ratios

D&B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

#### Industry Norms based on 36 establishments

	This Business	Industry Median	Industry Quartile
<b>Profitability</b>			
Return on Sales	UN	1.9	UN
Return on Net Worth	UN	7.7	UN
<b>Short-Term Solvency</b>			
Current Ratio	UN	1.8	UN
Quick Ratio	UN	1.7	UN
<b>Efficiency</b>			
Assets Sales	UN	31.6	UN
Sales / Net Working Capital	UN	7.2	UN
<b>Utilization</b>			
Total Liabilities / Net Worth	UN	112.7	UN

UN = Unavailable


### Finance

11/03/2014

As of November 3, 2014, attempts to contact the management of this business have been unsuccessful. Inside source confirmed name and location. Outside sources confirmed operation and location.

[Company Snapshot](#) [Creditworthiness](#) [Payment History & Trends](#) [Public Filings](#) [History & Operations](#) [Banking & Finance](#)

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Exhibit E  
**John Feldmann**  
525 New Suffolk Road  
Cutchogue, New York 11935  
(631) 988-3414

**Employment**

Feb 2015 - Present	Philip Ross Industries Inc. Field Supervisor Wyandanch, New York
1987 - Jan 2015	J.D.F. Building & Construction Corp. President / Project Manager Cutchogue, New York
July 2013 - Jan 2015	Advanced Construction Concepts (A.C.C.) Field Supervisor Garden City, New York

**Experience**

- Sole owner responsible for all phases and departments of J.D.F. (i.e., personnel, budgets, purchasing, planning, project completion)
- Supervisor of all personnel for J.D.F.
- Responsibilities at A.C.C. included scheduling and coordinating various union shop sub-contractors to work in harmony at several mall locations, which included Roosevelt Field, Walt Whitman, and Nanuet Malls. Supervision of Assistant Field Superintendents.
- Read and interpreted plans and drawings.
- Attend Project Meetings with the client and engineers to communicate about the various aspects of the project and move forward on any concerns of the client or engineer.
- At Philip Ross Industries, schedule personnel to keep various projects sequenced and moving forward on schedule
- Coordinate work with other prime contractors

**Education**

**Bryant University** - Smithfield, Rhode Island  
Bachelors of Science (Business Administration)



Exhibit F

July 13, 2015

Mr. Paul Lappano  
LBK Engineers

Re: Nassau Pump Station  
Project#S3P311-02G

Dear Mr. Lappano,

As per your request, our list of key personnel include the following:

Philip Carlucci-President  
Stephanie Kellerman- Controller; Contract Administrator & Compliance  
John Feldmann- Project Mgr & Superintendent  
Hayley Perrone- Project Manager  
Zachery Perrone- Project Coordinator  
Amberley Biel- Project Coordinator  
Ken Padover- Project Manager

Jose Lopez- Plumber local 200  
Ken Christensen- Plumber local 200  
Jason Miller- Plumber local 200  
Alexander Benvenuto- Plumber local 200  
Tom Whalen- Plumber local 200

Jose Goncalves – Laborer local 66  
Frank Tudda- Laborer local 66  
Larry Johnson- Laborer local 66  
Dennis Dodge – Laborer local 66  
Michael Lingner- Laborer Local 66  
Victor Smith- Laborer Local 66  
Michael Lenczewski- Laborer Local 66

Greg Passanante- Operators Local 138  
Alan Cannetti-Operator local 138  
Catherine Owen-Operator local 138  
Vincent Monaco- Operator local 138  
Matthew Wright-Operator local 138

Eric Wideman- Laborer Local 1298

Please let me know if you require anything else at this time or have any questions.

Sincerely,

A handwritten signature in black ink that reads "Philip Carlucci" followed by a stylized flourish or mark.

Philip Carlucci  
President

Exhibit G

# Bricklayers & Allied Craftworkers Local Union No. 1

*Jeremiah Sullivan - President*  
*Jack Argila - Secretary-Treasurer*

*Field Representatives*  
*Michael Barbera*  
*Lawrence Cravatto*  
*John J. Ciochiarella*  
*Anthony LaCava, Jr.*

4 Court Square  
Long Island City, NY 11101  
718-392-0525 Fax: 718-392-1068  
bricklayerslocal1@verizon.net



*Field Representatives*  
*Nunzio Mazzurone*  
*Robert Van Fechtinann*  
*Zack Winbush*

*Organizer*  
*Jerry Godyra*  
*Winall Longdon*

June 12, 2015

Silnap Corp.  
33 Old Neck Road  
Manorville, NY 11949

To whom it may concern:

Please be advised that Silnap Corp. is affiliated with our New York State Department of Labor approved apprenticeship program.

If you need any further information please don't hesitate to contact me.

Sincerely,

Jeremiah Sullivan

JS:ck

B-31-15

Page 1 of 4

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Philip Ross Industries Inc  
Address: 300 Long Island Ave  
City, State and Zip Code: WYANDANCH, NY 11798

2. Entity's Vendor Identification Number: 112707717

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture  
☐ Ltd. Liability Co ☒ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Philip Carlucci 6 W Northcote Dr, Melville, NY

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

Philip Carlucci 6 W Northcote Dr, Melville, NY

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

Not Applicable

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

none

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

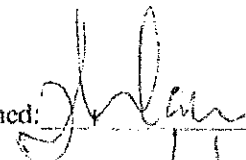
8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated:

6/1/15

Signed:



Print Name:

Philip Canhuccci

Title:

President

Page 4 of 4:

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.



PROPOSAL

SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

PUMP STATION REPAIR AND MITIGATION  
CEDAR DRIVE, ROOSEVELT BOULEVARD, WHITEWOOD DRIVE,  
BILTMORE BOULEVARD, ALHAMBRA ROAD  
CONTRACT NO. S3P311-02G GENERAL CONSTRUCTION

BIDDER:

philip rogg industries inc

SUBCONTRACTORS:

1. Plumbing and Gas Fitting

- a. Company: SELF perform  
b. Address: \_\_\_\_\_  
c. Telephone: \_\_\_\_\_  
d. Contact Name: \_\_\_\_\_  
e. Subcontract Value: \$ \_\_\_\_\_

2. Heating, Ventilating and Air Conditioning

- a. Company: SELF perform  
b. Address: \_\_\_\_\_  
c. Telephone: \_\_\_\_\_  
d. Contact Name: \_\_\_\_\_  
e. Subcontract Value: \$ \_\_\_\_\_

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: Hick electric  
b. Address: 75 Orville Dr, Bohemia, NY  
c. Telephone: 631-277-7700  
d. Contact Name: Fred Finley  
e. Subcontract Value: \$ 1,550,000

PROPOSAL

GENERAL CONSTRUCTION

COUNTY OF NASSAU  
STATE OF NEW YORK

PROPOSAL

FOR

PUMP STATION REPAIR AND MITIGATION  
CEDAR DRIVE, ROOSEVELT BOULEVARD, WHITEWOOD DRIVE,  
BLTMOORE BOULEVARD, ALHAMBRA ROAD

Contract No. S3P311-02G GENERAL CONSTRUCTION

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Philip Ross Industries, nc.

Bidder: corporation  
(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 200 Long Island Ave; Wyandanch, NY 11798

Telephone: 631-253-3077

Date of Bid: 5/12/15

Fax: 631-253-0180

E-Mail: \_\_\_\_\_

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: \_\_\_\_\_

Residence of Individual: \_\_\_\_\_

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: NY

Name and Residence of President: Philip Carlucci

6 Northcote Dr; Melville, NY

Name and Residence of Vice-President: \_\_\_\_\_

Name and Residence of Secretary: \_\_\_\_\_

Name and Residence of Treasurer: \_\_\_\_\_

## PROPOSAL

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### GENERAL CONSTRUCTION

#### THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contract or shall be required to have such a license.

## PROPOSAL

### I. Rejection of Bids.

#### A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

#### B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.



APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL  
**INTERNATIONAL UNION OF OPERATING ENGINEERS**  
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677  
FAX (631) 286-8683

247-C

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

May 11, 2015

Nassau County DPW  
1550 Franklin Ave  
Mineola, NY 11501

Re: Local 138 Apprenticeship School (the "Apprenticeship School")  
NYS Sponsor # 00422  
NYS ATP Code # 18318  
Philip Ross Industries

**Project No. S3P11-02G**

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited Philip Ross Industries to the Local 138 Agreement Covering Working Conditions, dated June 1, 2009 to May 31, 2015. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY  
BUSINESS MANAGER & TREASURER  
CHAIRMAN BOARD OF TRUSTEES  
LOCAL 138 APPRENTICESHIP TRAINING FUND

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S3P311-02G GENERAL CONSTRUCTION

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for Pump Station Repair & Mitigation. <i>Five million, five hundred &amp; ninety-five thousand</i> DOLLARS <i>Dollars &amp; 20 cents</i> CENTS	<i>5,595,000</i> N/A	<i>—</i> N/A	<i>5,595,000</i> \$20,000	<i>—</i> 00
2	Allowance	An allowance associated with Remedial Work for Unforeseen Hazardous Material (Section 01020). Twenty Thousand DOLLARS No CENTS	N/A	N/A	\$20,000	00
3	Allowance	For Changes Required by the Nassau County Fire Marshal (Section 01020) Twenty Five Thousand DOLLARS No CENTS	N/A	N/A	\$25,000	00

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S3P311-02G GENERAL CONSTRUCTION

4	Allowance	For Miscellaneous Additional Work (Section 01020)	N/A	N/A	\$250,000	00
		Two Hundred and Fifty Thousand	No			
		DOLLARS	CENTS			
<p>TOTAL BID AMOUNT (Bid Item Nos. 1 and 4): \$ <u>5,890,000</u></p> <p>TOTAL BID AMOUNT (Bid Item Nos. 1 and 4): MUST BE WRITTEN IN WORDS:  DOLLARS <u>Five Million Eight Hundred &amp; ninety thousand</u> DOLLARS &amp; <u>zero</u> CENTS</p>						

## PROPOSAL

ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 2: An allowance of TWENTY THOUSAND DOLLARS (\$20,000) for costs associated with UNFORESEEN HAZARDOUS MATERIAL REMEDIATION WORK.
2. Item No. 3: An allowance of TWENTY FIVE THOUSAND DOLLARS (\$25,000) for costs associated with CHANGES REQUIRED BY THE NASSAU COUNTY FIRE MARSHAL.
3. Item No. 4: An allowance of TWO HUNDRED AND FIFTY THOUSAND DOLLARS (\$250,000) for costs associated with MISCELLANEOUS ADDITIONAL WORK.

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and other relevant Sections.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price and allowance.

## PROPOSAL

**MAJOR EQUIPMENT ITEMS:** The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer and/or Supplier</u>
08390	Flood Proof Doors, Frames and Hardware	FLOOD SAFE IS DOORS
11115	Submersible End Suction Pumps -- Wet Pit	Xylem/FLYGT Grundfos
11116	Submersible End Suction Pumps -- Dry Pit	Xylem/FLYGT Grundfos
13125	Activated Carbon Odor Control Systems	CANDTROL PURA FILL
15342	Hydraulically Operated Comminutor	JWC ENVIRONMENTAL FRANKLIN Miller
15999	Sump Pumps	BARNES pumps, DIV OF CRANE pumps
16200	Diesel Engine Driven Generator	Kohler OR EQUAL

## PROPOSAL

### IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law § 165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

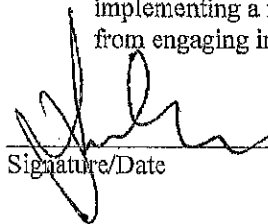
For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

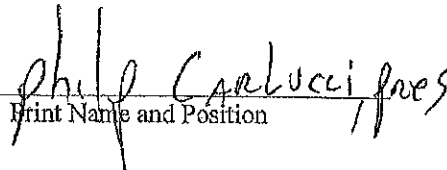
The Certification is as follows:

☒

a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,

b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

  
Print Name and Position

PROPOSAL

**MacBride Fair Employment Principles**

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:  
MACBRIDE FAIR EMPLOYMENT PRINCIPLES**

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

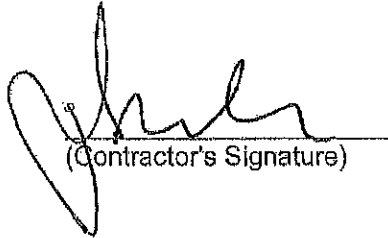
(1) have business operations in Northern Ireland,

Yes \_\_\_ No x

If yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_ No \_\_\_

  
(Contractor's Signature)

Philip Ross Industries, Inc  
(Name of Business)

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: Philip Ross Industries Inc.

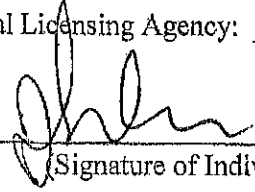
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: \_\_\_\_\_

Federal ID No: 11-2707717

Municipal License ID Number: \_\_\_\_\_

Municipal Licensing Agency: \_\_\_\_\_

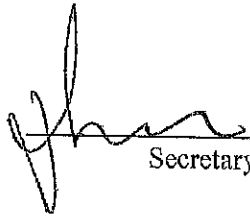
By:  Date: 5/12/15

(Signature of Individual, Partner or Corporate Officer)

(Print): Philip Carlucci Title: pres.

WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

  
Secretary

(CORPORATE)  
(SEAL)

PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn,  
deposes and says: That he is the person described in and who executed the foregoing Bid  
and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn,  
deposes and says: That he is a member of \_\_\_\_\_, the  
firm described in and which executed the foregoing Bid; that he duly subscribed the name of  
the firm thereunto on behalf of the firm; and that the several matters therein stated are in all  
respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Philip Carlucci Being duly sworn,  
deposes and says: That he resides at Melville, NY, that  
he is the president of the corporation described  
in and which executed the foregoing instrument; that he knows the seal of said corporation;  
that the seal affixed to the said instrument is such corporate seal and was affixed by order of  
the Board of Directors of said corporation; that he affixed his name thereto by like order; and  
that he has knowledge of the several matters therein stated and they are in all respects true.  
Subscribed and sworn to before me  
This 12 day of May 20 15.

  
Notary Public

STEPHANIE SELIX KELLERMAN  
NOTARY PUBLIC - STATE OF NEW YORK  
No. 01SE4978492  
Qualified in Suffolk County  
My Commission Expires March 04, 2017

## DISCLOSURE STATEMENT

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE STATEMENT

1. Name of Firm: Philip Ross Industries, Inc.  
Address: 200 Long Island Ave  
City and State: Wyandanch, NY Zip Code 11798

2. Firm's Vendor Identification Number: 11-2707717

3. Type of Business: ☐ Public Corp. ☐ Partnership ☐ Sole Proprietorship ☐ Joint Venture  
☐ Ltd Liability Company ☒ Closely Held Corp. ☐ Other (specify)

4. List names and address of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint ventures, and all members and officers of Limited Liability Companies (attach additional sheet (s) if necessary)

Philip Carlucci 6 Northcote Dr; Melville, NY

5. List all names and address of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. (\* If a Publicly held Corporation, include a copy of 10K form in lieu of completing this section ) (attach additional sheet (s) if necessary).

Philip Carlucci 6 Northcote Dr; Melville, NY

6. List all affiliated and related companies and their relationship to the firm entered on line 1 (one) above [if none, enter "None"] (\*include a separate disclosure form for each affiliated or subsidiary company ) (attach additional sheet (s) if necessary).

none

7. VERIFICATION: This section must be signed by a principal of the Consultant, Contractor or Vendor authorized as signator of the firm for the purpose of executing contracts. The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her own knowledge, true.

Dated: 5/12/15

Signed: 

Print Name: Philip Carlucci

Title: president

# QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 31

2. How many years experience in the construction work of a similar type as this contract has your firm had;

a. as a Prime Contractor 31

b. as a Subcontractor 31

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached list

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached list

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to You? no  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions



**Philip Ross Industries, Inc.**  
**200 Long Island Avenue**  
**Wyandanch, NY 11798**  
**(631) 253-3077**

**CERTIFIED NY STATE DEPT OF ENVIRONMENTAL CONSERVATION**  
**WELL DRILLER SINCE 1997 (LICENSE # 1776)**

### **PROJECT REFERENCES**

<b>PROJECT:</b>	Improvements to Waste Water Pollution Plant
<b>LOCATION:</b>	Greenport
<b>OWNER:</b>	Inc. Village of Greenport
<b>CONTACT:</b>	Rich Hults (516) 364-9880
<b>VALUE:</b>	\$6,180,000
<b>COMPLETED:</b>	Completed 8/12
<b>DESCRIPTION:</b>	Dewater existing sludge facilities; install concrete Tanks; BNR system; new screening facilities; Ultra-Violet light disinfection systems; sludge holding tanks; aerobic holding tank; new clarifier internals.
<b>PROJECT:</b>	VOC Treatment at Plant 1
<b>LOCATION:</b>	South Farmingdale, NY
<b>OWNER:</b>	South Farmingdale Water District
<b>CONTACT:</b>	Rich Humann (631) 756-8000
<b>VALUE:</b>	\$6,747,000
<b>COMPLETION:</b>	Completed 7/11
<b>DESCRIPTION:</b>	Site work; removals; cast in place concrete; new VOC & Iron treatment bldg; air stripper & iron removal vessels; Mechanical piping; blowers & pumps; HVAC; metals.
<b>PROJECT:</b>	Packed Tower Aeration System
<b>LOCATION:</b>	Community Drive
<b>OWNER:</b>	Water Authority of Great Neck North
<b>CONTACT:</b>	Bill Merklin (516) 364-9880
<b>VALUE:</b>	\$4,578,000
<b>COMPLETED:</b>	Completed 6/13
<b>DESCRIPTION:</b>	Install packed aeration system; well pumps; piping; Control systems; site work; chemical equipment; asphalt; Sodium hypochlorite system; electrical.

PROJECT: Construction of Locust Valley Sewer Pump Station  
LOCATION: Locust Valley  
OWNER: Nassau County  
CONTACT: Phil Campisi (516) 579-5856  
VALUE: \$1,695,000  
COMPLETED: Completed 6/11  
DESCRIPTION: Site clearing; construction pump bldg; comminutor  
& pumps; piping; wet well; fuel tank; odor control;  
Flow elements; electrical; HVAC; plumbing & start up

PROJECT: Digester Cover Gas Valve Improvements  
LOCATION: Cedar Creek Water Pollution Plant  
OWNER: Nassau County  
CONTACT: Bob Petersen (516) 571-7389  
VALUE: \$440,000  
COMPLETED: Completed 6/11  
DESCRIPTION: Replace valves & digester plugs in 9 digesters;  
Repair Lightening Protection systems; replace  
Stair assemblies as needed.

PROJECT: Granular Activated Carbon System  
LOCATION: Plant 7- Contract G & P  
OWNER: So Huntington water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,638,000  
COMPLETED: Completed 1/14  
DESCRIPTION: Removals; construction pump station bldg.; install  
GAC treatment facility; fuel tank; chemical treatment  
System; disinfection; GAC vessels; piping

PROJECT: Nitrate Removal at Plant 8  
LOCATION: Hicksville  
OWNER: Hicksville Water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,405,000  
COMPLETED: Completed 4/10  
DESCRIPTION: Site Clearing; Fencing; Landscaping; Concrete; Masonry;  
Carpentry; Waterproofing; Painting; Airstripping System;  
Chemical Monitoring; Mechanical Piping

PROJECT: Nitrate Removal System  
LOCATION: Well 4  
OWNER: Town of North Hempstead & the Pt Washington water Dis.  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,404,000  
COMPLETED: Completed 3/13  
DESCRIPTION: Construction of nitrate system bldg.; paving; replace diesel Engine; concrete; solenoid valves; GAC vessel coating;pumps

PROJECT: VOC Removal at Plant 4  
LOCATION: East Farmingdale, NY  
OWNER: Town of Babylon  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,051,000  
COMPLETION: Completed 4/11  
DESCRIPTION: Removals; new treatment bldg; mechanicals for New bldg; HVAC system; new chemical treatment System; site work; air stripping tower & assoc. equip

PROJECT: GAC Filter at Plant 15  
LOCATION: South Huntington  
OWNER: South Huntington Water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$2,045,608  
COMPLETED: Completed 2/10  
DESCRIPTION: Site work; Concrete; Masonry; Stairs; Carpentry; Waterproofing; Modular Absorption System; Caustic; Chemical Monitoring Equip; Mechanical Piping; Electric.

PROJECT: GAC Treatment at Well 7  
LOCATION: Roosevelt Field Water District  
OWNER: Town of Hempstead  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,186,000  
COMPLETION: Completed 4/11  
DESCRIPTION: Replace well pump; construct new carbon treatment bldg; All mechanical piping & fittings; HVAC; new carbon absorption system.

PROJECT: VOC Removal at Plant 11  
LOCATION: Greenlawn  
OWNER: Greenlawn Water District  
CONTACT: Rich Humann (631) 756-8000

VALUE: \$1,197,000  
COMPLETED: Completed 9/09  
DESCRIPTION: Site clearing; Concrete; Masonry; Metals; Carpentry;  
Modular adsorption system; Mechanical; Electric.

PROJECT: Airstripping for VOC Removal at Well1&2  
LOCATION: Franklin Square  
OWNER: Franklin Square Water District  
CONTACT: Rich Humann (631)- 756-8000  
VALUE: \$1,347,000  
COMPLETED: Completed 8/09  
DESCRIPTION: Site Clearing; excavation; paving; concrete; site  
Water lines; disinfection; leaching pool; landscaping;  
Floor coating; turbine pumps; air stripping tower; piping.

PROJECT: GAC Treatment for VOC Removal at Well 7  
LOCATION: Garden City, NY  
OWNER: Inc Vlg of Garden City  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$592,345  
COMPLETED: 11/08  
DESCRIPTION: Site clearing; site water lines; fencing; seeding; concrete;  
Structural steel; metals; water treatment system; carbon;  
Piping; modular absorption system; painting.

PROJECT: GAC Treatment for VOC Removal at Plant 11  
LOCATION: Hicksville, NY  
OWNER: Hicksville Water District  
CONTACT: Thomas Carrahill (631) 756-8000  
VALUE: \$560,000  
COMPLETED: 10/08  
DESCRIPTION: Site clearing; trenching; concrete; fencing; structural steel;  
Modular absorption system; carbon; piping; valves

PROJECT: Swimming Pool Rehabilitation  
LOCATION: Salisbury Ave.  
OWNER: Inc. Village of Stewart Manor  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,378,075  
COMPLETED: 6/08  
DESCRIPTION: Replace filter bldg; concrete decks; pool gutter;  
Landscaping; retaining wall; piping; paving; pool liner;  
Refreshment stand; drainage structures; ventilation.

PROJECT: Packed Tower Aeration System at Well 12  
LOCATION: Corporate Dr., Westbury  
OWNER: Town of Hempstead & Roosevelt Field Water District  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,402,236  
COMPLETED: 11/07  
DESCRIPTION: Modify existing pump; construct new aeration bldg;  
Install packed tower; pumps & blowers; electrical;  
Control system; ventilation system.

PROJECT: Perchlorate Removal at Well BDG-1  
LOCATION: Plainview Rd  
OWNER: Bethpage Water District  
CONTACT: Rich Humann (631) 756-8000  
VALUE: \$1,178,000  
COMPLETED: 8/08  
DESCRIPTION: Well head treatment; Site work; Concrete; Masonry;  
Waterproofing; Modular Iron Exchange System; Piping

PROJECT: Packed Aeration System at Well 2A  
LOCATION: Old Farm Rd  
OWNER: Town of Hempstead & Levittown Water District  
CONTACT: Bill Merklin (516) 364-9880  
VALUE: \$1,100,000  
COMPLETED: 12/05  
DESCRIPTION: Replace well pump& motor; build aeration bldg &  
clearwell; install packed tower; piping; booster pump;  
Ventilation equipment.

PROJECT: Airstripping for VOC removal at Plant 2  
LOCATION: Whitson Rd  
OWNER: Plainview Water District  
CONTACT: Tony Trombino (631) 756-8600  
VALUE: \$2,300,000  
COMPLETED: 5/07  
DESCRIPTION: Install air stripping tower; grading; piping; precast concrete;  
Fencing; landscaping; masonry; concrete; carpentry; metal  
work; vertical turbine pumps; HVAC; backflow prevention.

PROJECT: Airstripping at Plant #10  
LOCATION: Whitson Road  
OWNER: So Huntington water District  
CONTACT: Tony Trombino (631) 756-8000  
VALUE: \$1,749,000  
COMPLETED: 6/05

DESCRIPTION: Excavation; booster pumps; piping; mechanical;  
Chemical storage systems; air stripping system.

PROJECT: Construction of Wells 11 &12  
LOCATION: Corporate Dr-Westbury  
OWNER: Roosevelt Field Water District/  
Town of Hempstead

CONTACT: Bill Merklin (516) 364-9880

VALUE: \$1,770,000

COMPLETED: 6/05

DESCRIPTION: Carpentry; roofing; foundations; piping & pumping  
Equipment; chemical treatment equipment; electrical;  
Disinfection.

PROJECT: Olympic Pool Rehab  
LOCATION: Hendrickson Park  
OWNER: Inc. Village of Valley Stream

CONTACT: Bill Merklin (516) 364-9880

VALUE: \$1,550,000

COMPLETED: 6/04

DESCRIPTION: Removal of all existing filtration system & swimming  
pool; Install new swimming pool, decking, lighting,  
Filtration system; drainage & swimming pool accessories.

c. ever been declared a non-responsible bidder by any municipality or public agency? no  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? no  
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

11. What is the construction experience of the principal individuals

of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Philip Carlucci	pres.	35+	all phases	owner

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
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see attached list

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

not applicable

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?  
Explain in detail.

per plans & specs

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

per plans & specs

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

Philip Carluci

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
g.l.	Harleysville	1mill/3 mill	11/14-11/15
auto	Harleysville	1 mill	11/14-11/15
workers comp	State Ins Fund	statutory	12/14-12/15
Umbrella	Columbia Casualty	10 million	11/14-11/15

**Philip Carlucci**  
6 Northcote Dr  
Melville, NY 11747

**Employment:**

1984 – Present      Philip Ross Industries, Inc., Wyandanch, NY- President and  
Project Manager

**Experience:**

- Sole owner responsible for all phases and departments of company
- Supervisor of all field and administrative personnel
- Project management and coordination.
- Oversight of bids and estimating department in order to continually improve win ratios.
- Sales meetings and making presentations to current and potential customers.
- Oversight of billing and accounting department.
- Field engineering & interpretation engineering blueprints & specifications to identify and describe work scope changes.
- Setting the profit margin for the project and responsible to track job progress, schedule and material costs.
- Attend project meetings with the owners and engineers continually communicating with the various parties and reacting to their needs in a timely manner.
- Oversight of implementation of new technologies and software both in the office and in the field.
- Supervision of all project managers and subcontractor relationships.

**Skill Areas:**

- Excellent communication skills, both verbal and written.
- Proven engineering/project management skills.
- Ability to identify, prioritize, delegate and conduct appropriate follow up on all variations of project phases.
- Strong planning & organizational skills.
- OSHA oversight and compliance.

- Identify project costs and devise creative alternate solutions/options to common industry obstacles with saved the company time and money.
- Developed relationships with qualified, reliable & competitive subcontractors and vendors.

**Education:**

State University of NY- Farmingdale Campus  
Associates in Business Administration- 1983

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

A handwritten signature in dark ink, appearing to be 'J. P. H.', is written over the text of the declaration.

(Form of affidavit where Bidder is a corporation)  
STATE OF NEW YORK )

Philip Carluci Being duly sworn, deposes and says:  
That he resides at 6 northcote Dr Street,  
in the City of Melville that he is the pres. of  
the Philip Ross Industries, Inc.  
instrument; that he knows the seal of said corporation; that the seal  
affixed to the said instrument is such corporate seal and was affixed  
by order of the Board of Directors of said corporation; that he signed  
his name thereto by like order; and that he has knowledge of the  
several matters therein stated and they are in all respects true.  
Subscribed and sworn to before me  
this 12 day of May, 2015  
STEPHANIE SELIX KELLERMAN  
Clerk of the Court  
County of New York  
State of New York

STEPHANIE SELIX KELLERMAN  
15 NOTARY PUBLIC-STATE OF NEW YORK  
No. 015E493492  
Qualified in Suffolk County, NY  
My Commission Expires March 04, 2017

That he is a member of \_\_\_\_\_ Being duly sworn, deposes and says:  
the firm described in and which executed the foregoing bid; that he  
duly subscribed the name of the firm hereunto on behalf of the firm;  
and that the several matters therein stated are in all respects true.  
Subscribed and sworn to before me  
this       day of       , 20       .

That he is the person described in and who executed the foregoing bid  
Subscribed and sworn to before me  
this       day of       , 20       .

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COUNTY OF NASSAU  
STATE OF NEW YORK

DEPARTMENT OF PUBLIC WORKS  
Division of Engineering

**FORM OF BID BOND**

**IMPORTANT** The bidder shall instruct the Surety Company to follow the exact language of this form as any omission, addition or change in phraseology may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Philip Ross Industries, Inc., 200 Long Island Avenue, Wyandanch, NY 11798.

as Principal; and Liberty Mutual Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of Ten Percent of Proposal 10% of P.P.  
Price                      dollars (\$                     ) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 7th day of May, 20 15

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under

Contract No. S3P311-02G General Construction

Pump Station Repair and Mitigation, Cedar Drive, Roosevelt Boulevard, Whitewood Drive, Biltmore

Boulevard, Alhambra Road. Contract No. S3P311-02G - General Construction

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall:

- a. when notified by the County, execute all necessary counterparts of the Contract as set forth in the Contract Documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the Contract Documents for the faithful performance and proper fulfillment of such Contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience, and liquid assets required for the Contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

FORM OF BID BOND

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## FORM OF BID BOND

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Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the Contract Documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

**FORM OF BID BOND**

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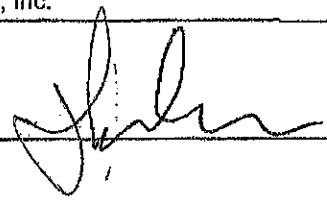
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FORM OF BID BOND

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Philip Ross Industries, Inc.

Contractor

by  (L.S.)

(Corporate seal of  
Contractor  
Title if a corporation)

by \_\_\_\_\_ (L.S.)

Title

*president*

by \_\_\_\_\_ (L.S.)

Title

Liberty Mutual Insurance Company  
175 Berkeley Street, Boston, MA 02116

Surety

by  (L.S.)

Title of Officer Susan Lupski, Attorney-in-Fact

Witness:

~~Attexx~~  (L.S.)

~~Title of Officer~~ Grace Ackerson

(Corporate seal  
of Surety)

**FORM OF BID BOND**

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FORM OF BID BOND

(Acknowledgment by Contractor if a corporation)

STATE OF MD )

SS.:  
COUNTY OF Jefferson )

On this 12 day of May, 2015, before me personally came Philip CanLucci to me known, who, being by me duly sworn, did depose and say for himself, that he resides in MELVILLE NY that he is the pres of the Philip Ross Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation and that he signed his name thereto by like order.

NOTARY PUBLIC-STATE OF NEW YORK

No 01SE4978492

Qualified in Suffolk County

My Commission Expires March 04, 2017

Stephen J. Bell  
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF \_\_\_\_\_ )

SS.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be a member of \_\_\_\_\_ the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

SS.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

FORM.OF BID BOND

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NO TEXT ON THIS PAGE

FORM OF BID BOND

(Acknowledgment by Surety Company)

STATE OF New York )

ss.:  
COUNTY OF Nassau )

On this 7th day of May, 2015, before me personally came Susan Lupski to me

Known, who being by me duly sworn, did depose and say that he resides in \_\_\_\_\_

Nassau County, New York

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the

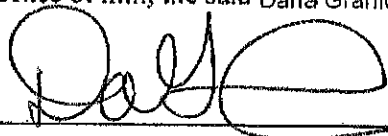
State of New York, and the said Dana Granice further said

that he is acquainted with Susan Lupski and knows him

to be the Attorney-In-Fact of said company; that the signature

of the said Susan Lupski subscribed to the within instrument is

in the genuine handwriting of the said Susan Lupski and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Dana Granice

  
Notary Public

DANA GRANICE  
Notary Public, State of New York  
No. 81GR6099128  
Qualified in Nassau County  
Commission Expires September 22, 2015

FORM OF BID BOND

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NO TEXT ON THIS PAGE

**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.**

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 6763189

American Fire and Casualty Company  
The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company  
West American Insurance Company

**POWER OF ATTORNEY**

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Camille Malfland; Colette R. Chisholm; Desiree Cardlin; George O. Brewster; Gerard S. Macholz; Lee Ferrucci; Nelly Renschlwich; Peter F. Jones; Rita Sagistano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh; Virginia M. Lovett

all of the city of Unlondale, state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 28th day of October, 2014.



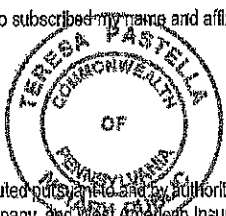
American Fire and Casualty Company  
The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA  
COUNTY OF MONTGOMERY

On this 28th day of October, 2014, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Plymouth Twp., Montgomery County  
My Commission Expires March 28, 2017  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV - OFFICERS** - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation** - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization** - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this MAY 07 2015 day of MAY, 2015.



By: Gregory W. Davenport  
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY  
FINANCIAL STATEMENT — DECEMBER 31, 2014

Assets		Liabilities	
Cash and Bank Deposits .....	\$744,221,142	Unearned Premiums .....	\$6,288,178,795
*Bonds — U.S Government .....	1,718,117,704	Reserve for Claims and Claims Expense.....	16,879,324,618
*Other Bonds .....	11,205,872,087	Funds Held Under Reinsurance Treaties.....	211,983,009
*Stocks .....	9,533,437,819	Reserve for Dividends to Policyholders.....	1,246,547
Real Estate .....	277,742,849	Additional Statutory Reserve .....	40,877,587
Agents' Balances or Uncollected Premiums .....	4,150,041,316	Reserve for Commissions, Taxes and	
Accrued Interest and Rents .....	129,261,358	Other Liabilities .....	<u>2,664,248,124</u>
Other Admitted Assets .....	<u>14,896,464,393</u>	Total .....	<u>\$26,085,858,680</u>
Total Admitted Assets .....	<u>\$42,655,158,668</u>	Special Surplus Funds.....	\$53,954,363
		Capital Stock.....	10,000,000
		Paid in Surplus.....	8,829,117,542
		Unassigned Surplus.....	7,676,228,083
		Surplus to Policyholders .....	<u>16,569,299,988</u>
		Total Liabilities and Surplus .....	<u>\$42,655,158,668</u>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2014, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 20th day of March, 2015.

*T. Mikolajewski*

Assistant Secretary

**FORM OF BID BOND**

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CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK  
INSURANCE LAW

STATE OF NEW YORK  
DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

**LIBERTY MUTUAL INSURANCE COMPANY**

Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,569,299,988 (Capital \$10,000,000) as is shown by its sworn financial statement for the Year-End as of December 31, 2014, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-  
unto set my hand and affixed the  
official seal of this Department  
at the City of Albany, this  
17<sup>th</sup> day of March, 2015

Benjamin M. Lawskey  
Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo  
Special Deputy Superintendent

FORM OF BID BOND

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